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Approved for use through 10/31/2002 OMB 0651-0032

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## UTILITY **PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1 53(b))

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Attorney Docket No.   N			M4	4065.0376/P376	PT
First Named Inventor		1	H. DANIEL DULMAN ET AL.	.00	
	Title			R ALIGNING A CONTACT OR IACENT PHASE-SHIFTER ON	
	Express	Mail Label No			υ U

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ſ			APPLI	CATION ELEM	MENTS			ADDRE	SS TO:		Commissioner for Patents nt Application	
See MPEP chapter 600 concerning utility patent application contents.						on contents.	<u>L_</u>			Washing	ton, DC 20231	
1	×	(Submit ar Applicar	original, a	Form (e.g , PTO/SB and a duplicate for fee pr small entity status			7 8.	Compu Nucleotide	ıter Program	n (Appendix) o Acıd Sequ	large table or ence Submission	
3	X	Specific	ation	ĮΤα	otal Pages	25		a Com	puter Reada	able Form (C	RF)	
(preferred arrangement set forth below)  - Descriptive title of the invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Summary of the Drawings (if filed)					b. Specification Sequence Listing on  i. CD-ROM or CD-R (2 copies), or ii paper  c Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9. X Assignment Papers (cover sheet & document(s))							
		- Abstr	act of the	Disclosure			10.		R 3 73(b) Sta there is an a		Power of Attorney	
4	X	Drawing	(s) <i>(</i> 35 U	.S.C. 113) [T	otal Sheets	10	11	English	n Translation	Document	(if applicable)	
5	Oath	or Decla	ration	דן	otal Pages	3 1	12		ation Disclos ent (IDS)/P		Copies of IDS Citations	
	а	X Nev	vly execu	ited (original or cop	y)		13	Prelimi	inary Amend	lment		
	b			prior application (3 on/division with Box 18		d))	14 Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
Ì		i		ON OF INVENTOR			15		ed Copy of P on priority is cla	'riority Docur aimed)	ment(s)	
			inventor(	s) named in the prior a FR 1 63(d)(2) and 1 33	pplication,		16				r 35 U S C. 122 (b)(2)(B)(I) D/SB/35 or its equivalent	
							17	Other.				
e		Applicat	ion Data	Sheet. See 37 CFF	R 1 76				<u></u>	<del></del>		
1	18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 176											
		Continua	ation	Divisional	Continu	iation-in-part (	CIP)	of pnor appli	ication No			
1	Prior	applicatio	n inform	ation <sup>.</sup> Examiner				G	roup / Art Un	ort.		
u	nder B	ox 5b, is c	considere	ed part of the disclo	sure of the a	ccompanying	contir	nuation or divi	isional appli	cation and is	h or declaration is supplied hereby incorporated by tted application parts.	
L					18.	CORRESPO	NDE	NCE ADDR	ESS			
	Customer Number or Bar Code Label or X Correspondence address below								Correspondence address below			
٨	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Thomas J. D'Amico											
А	ddress		2101	L Street NW								
С	ity		Wash	nington		State			Z	Zıp Code 2	0037-1526	
С	ountry					Telephone	(20	<del>2) 7</del> 85-97	00	Fax	(202) 887-0689	
	Nam	e ( <i>Print</i> /	Туре)	Thomas J. D	D'Amico			Registration	No. (Attorne	y/Agent)	28,371	
	Signa	ature			1	1020	2	?	·	Date	January 29, 2001	

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,182.00

Complete if Known							
Application Number	TO BE ASSIGNED						
Filing Date	January 29, 2001						
First Named Inventor	H. Daniel Dulman						
Examiner Name	TO BE ASSIGNED						
Group Art Unit	TO BE ASSIGNED						
Attorney Docket No.	M4065.0376/P376						

METHOD OF PAYMENT	Π			FEE C	ALCULATION (continued)		
1. The Commissioner is hereby authorized to charge	3. Al	DDITIO	NAL F	EES			
indicated fees and credit any overpayments to.  Deposit	Large	Entity	Smal	I Entity			
Account Number 04-1073	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit Account	105	130	205	65	Surcharge – late filing fee or oath		
Name	127	50	227	25	Surcharge – late provisional filing fee or cover sheet		
X Charge Any Additional Applicant claims small entity status See	139	130	139	130	Non-English specification		
37 CFR 1.16 and 1 17 37 CFR 1 27	147	2,520	147	2,520	For filing a request for ex parte reexamination		
2. X Payment Enclosed	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
X Check Credit Card Money Order Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	115	110	215	55	Extension for reply within first month		
1. BASIC FILING FEE	116	390	216	195	Extension for reply within second month		
Large Entity Small Entity	117	890	217	445	Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid Fee Paid	118	1,390	218	695	Extension for reply within fourth month		
101 710 201 355 Utility filing fee 710.00	128	1,890	228	945	Extension for reply within fifth month		
106 320 206 160 Design filing fee	119	310	219	155	Notice of Appeal		
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal		
108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing		
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 710.00	140	110	240	55	Petition to revive – unavoidable		
	141	1,240	241	620	Petition to revive – unintentional		
2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid	142	1,240	242	620	Utility issue fee (or reissue)	1	
Total Claims 44 -20** = 24 x 18.00 = 432.00	143	440	243	220	Design issue fee		
Independent Claims 3 -3** = x = x	144	600	244	300	Plant issue fee		
Multiple Dependent =	122	130	122	130	Petitions to the Commissioner		
	123	50	123	50	Processing fee under 37 CFR 1 17(q)		
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Stmt		
Fee Fee Fee Code (\$) Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00	
103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filing a submission after final rejection (37 CFR 1 129(a))		
104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional invention to be examined (37CFR 1 129(b))		
109 80 209 40 ** Reissue independent claims	179	710	279	355	Request for Continued Examination (RCE)		
over original patent	169	900	169	900	Request for expedited examination		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other f	ee (spec	ify)		of a design application		
SUBTOTAL (2) (\$) 432.00		ed by Ba		g Fee Pa	aid SUBTOTAL (3) (\$)	\$40 00	
**or number previously paid, if greater; For Reissues, see above		-					
UBMITTED BY Complete (if applicable)							

SUBMITTED BY				Complete (	Complete (if applicable)		
Name (print/type) Thomas J. D'Amico		Registration No (Attorney/Agent)	28,371	Telephone	(202) 828-2232		
Signature	Vac-	\$		Date	January 29, 2001		
	170				<del></del>		